

MINISTRY OF CORPORATE AFFAIRS
RECEIPT
G.A.R.7

SRN : R28296358

Service Request Date : 31/12/2019

Payment made into : ICICI Bank

Received From :

Name : Sandeep Parwal
Address : C - 1/8 Janak Puri

New Delhi, Delhi
India - 110058

Entity on whose behalf money is paid

CIN: L65910DL1984PLC018749
Name : SPA CAPITAL SERVICES LIMITED
Address : 25 C- BLOCK COMMUNITY CENTREJANAKPURI

NEW DELHI, Delhi
India - 110058

Full Particulars of Remittance

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2019	Normal	600.00
Total		600.00

Mode of Payment: Credit Card- ICICI Bank

Received Payment Rupees: Six Hundred Only

Note -The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L65910DL1984PLC018749

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAGCS5765B

(ii) (a) Name of the company

SPA CAPITAL SERVICES LIMITEI

(b) Registered office address

25 C- BLOCK COMMUNITY CENTREJANAKPURI
NEW DELHI
Delhi
110058
India

(c) *e-mail ID of the company

listing@spacapital.com

(d) *Telephone number with STD code

01145675500

(e) Website

(iii) Date of Incorporation

20/07/1984

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Ltd.	1

(b) CIN of the Registrar and Transfer Agent

U74899DL1973PLC006950

Pre-fill

Name of the Registrar and Transfer Agent

MAS SERVICES LTD

Registered office address of the Registrar and Transfer Agents

T-34, 2nd Floor,
Okhla Industrial Area, Phase - II

(vii) *Financial year From date 01/04/2018 (DD/MM/YYYY) To date 31/03/2019 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

30/09/2019

(b) Due date of AGM

30/09/2019

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K2	Activities by Trusts, funds and other financial holding companies	51.13
2	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	48.87

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	5,000,000	3,074,225	3,074,225	3,074,225
Total amount of equity shares (in Rupees)	50,000,000	30,742,250	30,742,250	30,742,250

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY SHARES				
Number of equity shares	5,000,000	3,074,225	3,074,225	3,074,225
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	50,000,000	30,742,250	30,742,250	30,742,250

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid up amount	Total premium
Equity shares				

At the beginning of the year	3,074,225	30,742,250	30,742,250	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	3,074,225	30,742,250	30,742,250	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0

i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee	<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)	<input type="text"/>		
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor	<input type="text"/>		
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee	<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			140,557,212
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			202,800,034
Deposit			0
Total			343,357,246

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

985,051,109

(ii) Net worth of the Company

173,197,845

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	847,700	27.57	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,242,500	40.42	0	
10.	Others	0	0	0	
	Total	2,090,200	67.99	0	0

Total number of shareholders (promoters)

11

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	896,525	29.16	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	87,500	2.85	0	
10.	Others	0	0	0	
	Total	984,025	32.01	0	0

Total number of shareholders (other than promoters)

282

Total number of shareholders (Promoters+Public/
Other than promoters)

293

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	11	11
Members (other than promoters)	270	282
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	1	2	1	2	8.21	12.53
B. Non-Promoter	1	4	1	4	0	0
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	4	0	4	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	6	2	6	8.21	12.53

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 9

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SANDEEP PARWAL	00025803	Managing Director	252,525	
HONEY PARWAL	00025835	Director	175,000	
KAMAL KISHORE SOM	00254658	Director	210,175	02/09/2019
KAMAL BINANI	00340348	Director	0	03/09/2019
VIKAS DHINGRA	00342380	Director	0	30/09/2019
AMIT KUMAR JAKHOT	07947687	Director	0	
MAHAVEER PRASAD	00642941	Whole-time director	0	30/09/2019
RAMESH MENON	02182698	Director	0	
SANJAY KUMAR JAIN	ACOPJ7144G	CFO	8,925	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
HONEY PARWAL	00025835	Additional director	18/09/2018	Change in designation to Director
AMIT KUMAR JAKHOT	07947687	Additional director	18/09/2018	Change in designation to Director
RAMESH MENON	07947687	Additional director	18/09/2018	Change in designation to Director

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	18/09/2018	292	13	57.74

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	30/05/2018	8	4	50
2	14/08/2018	8	5	62.5
3	24/09/2018	8	8	100
4	20/11/2018	8	3	37.5
5	14/02/2019	8	5	62.5
6	22/03/2019	8	5	62.5

C. COMMITTEE MEETINGS

Number of meetings held

8

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	30/05/2018	4	3	75
2	Audit Committee	14/08/2018	4	3	75
3	Audit Committee	20/11/2018	4	3	75
4	Audit Committee	14/02/2019	4	3	75
5	Audit Committee	14/02/2019	4	3	75
6	NOMINATION	14/08/2018	3	3	100
7	NOMINATION	22/03/2019	3	3	100
8	SHARE TRANSFER	20/11/2018	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 30/09/2019 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
		1	SANDEEP PA	6	6	100	6	6
2	HONEY PARV	6	3	50	2	2	100	No
3	KAMAL KISHO	6	1	16.67	0	0	0	Not Applicable
4	KAMAL BINAN	6	1	16.67	5	0	0	Not Applicable
5	VIKAS DHING	6	2	33.33	1	1	100	No
6	AMIT KUMAR	6	6	100	8	8	100	No
7	MAHAVEER F	6	5	83.33	0	0	0	Yes
8	RAMESH MEI	6	6	100	7	7	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sandeep Parwal	Chairman cum M	3,300,000	0	0	0	3,300,000
2	Mahaveer Prasad M	Whole Time Dire	1,500,000	0	0	0	1,500,000
	Total		4,800,000	0	0	0	4,800,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sanjay Kumar Jain	CFO	1,935,500	0	0	0	1,935,500
2	Rajesh Ramnanni	Company Secre	2,579,337	0	0	0	2,579,337
	Total		4,514,837	0	0	0	4,514,837

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

MANISH GUPTA

Whether associate or fellow

Associate Fellow

Certificate of practice number

4095

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... 07 dated 29/12/2015

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Sandee Parwal
Digitally signed by Sandee Parwal
Date: 2015.12.30 18:22:44 +05'30'

DIN of the director

00025803

To be digitally signed by

KAJAL GUPTA
Digitally signed by KAJAL GUPTA
Date: 2015.12.30 15:23:55 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

-
-
-
-

List of attachments

List of Shareholders.pdf
MGT 8/SPA/CAPITALS.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



www.spacapital.com

SPA Capital Services Ltd.

CIN: L65910DL1984PLC018749

25, C-Block Community Centre

Janak Puri, New Delhi-110 058

Tel. : 011-25517371, 45675500

Fax : 011-25572342

Email : info@spacapital.com

SPA CAPITAL SERVICES LIMITED - LIST OF SHAREHOLDERS AS ON MARCH 31, 2019					
S. No.	Folio No.	DP ID	Client ID	NAME	No. of Shares
1	0000001			SUKHWINDER LAL GUPTA	3
2	0000002			BRIJ GOYAL	3
3	0000003			RAKESH KUMAR SANGAR	3
4	0000004			BABU LAL	3
5	0000005			PAWAN KUMAR KHATTAR	3
6	0000006			LALIT KUMAR	3
7	0000007			GIULSHAN KUMAR ARORA	3
8	0001001			VINAD SHARMA	3500
9	0001002			INDER CHAND PRAJAPAT	6300
10	0001003			SANTOSH KABRA	14000
11	0001004			SHAM LAL	7000
12	0001005			HANS RAJ PRAJAPAT	6650
13	0001006			KUMAR A	6555
14	0001007			B. K. KABRA (HUF)	7000
15	0001010			RAJU	3850
16	0001011			PRAVEEN KUMAR	6650
17	0001012			MUKESH VERMA	4500
18	0001013			ANSHU BAGRI	4250
19	0001014			ANIL KUMAR GORELA	6300
20	0001016			SURINDER SINGH	6300
21	0001017			PARMESHWAR LAL PRAJAPAT	7000
22	000101U			KANIHYA LAL MARU	6300
23	0001019			BABU SHAH D BHOSLE (HUF)	7000
24	0001020			BHAGIRATH CHOWDHARY	6650
25	0001022			BALA GAIKWARD	7000
26	0001023			BHANWI RAM KHOTTOR	5250
27	0001024			HANUMAN MAL SUNDA	6300
28	0001025			SHIV KUMAR TIWARI	7000
29	0001026			SANJAY JAIN	2000
30	0001027			ARJUN GADHAN	7000
31	0001028			RAJENDRA SINGH RATHOR	6650
32	0001029			BABU LAL KABRA (HUF)	7000
33	0001034			RAKHI KABRA	7000
34	0001035			MAHAL CHAND JAJU	6300
35	0001036			SATYA NARAYAN SHARMA	6300
36	0001037			BABA SHAH D BHOSLE	7000
37	0001038			RAM SARUP PRAJAPAT	6300
38	0001039			BRIJ MOHAN	1700
39	0001040			VIJAY SINGH GORELA	6300
40	0001043			RAVI BHATIA	4500
41	0001044			RAMJI LAL	7000
42	0001045			RAJENDRA PRASAD SHARMA	6300
43	0001046			KUNJ BIHARI KABRA	7000
44	0001047			NAND KISHORE NIRAMIA	7000
45	0001048			JAGDISH PRASAD	7000
46	0001049			CHAMPA LAL PRAJAPAT	6300
47	0001050			BINAY MISHRA	4750
48	0001051			DIMPLE JAIN	2000
49	0001052			ASHOK KUMAR CHANDAK	7000
50	0001055			SANJAY KUMAR	6300
51	0001056			K. P. KABRA	7000
52	0001057			RADHA KABRA	7000
53	0001059			BHARAT SHARMA	7000
54	0001060			RAJESH BAGRI	7000
55	0003013			VIJAY TEHLAN	12625
56	0003019			RAMA NAND SHARMA	29425
57	0003021			SANJAY JOON	4
58	A000001			AJIT KUMAR	1225
59	A000002			AJMER SINGH	1400
60	A000003			AMBRISH KUMAR	1400

61	A000004			ANGOORI DEVI	1050
62	A000005			ANIL MAHESHWARI	1400
63	A000006			ANU SHARMA	1750
64	A000007			ARUN KUMAR DEWAN	1750
65	A000008			ASHOK KUMAR AGARWAL	1400
66	A000009			ATAM RAM GUPTA	1400
67	B000001			B. L. GUPTA	1225
68	B000002			B. R. GUPTA	350
69	B000003			B. S. RAWAT	875
70	B000004			BHAGWAN DAS GUPTA	1225
71	B000005			BHARAT BHUSHAN SURI	1750
72	B000006			BIHARI LAL	1050
73	B000007			BISHAMBAR NATH AGARWAL	1225
74	B000008			BISHAN MOHAN KUMAR SHRIVASTAVA	350
75	B000009			BRIJ BHUSHAN GUPTA	1400
76	C000001			C. P. BAHRI	1750
77	C000002			C. S. PANDEY	1225
78	C000003			CAPTAIN AMARJIT SINGH	1400
79	C000004			CHANDER KALA	1400
80	D000001			D. B. SINGH	350
81	D000002			DALIP SINGH BOHRA	175
82	D000003			DEVENDRA CHANDRA GARG	1400
83	D000004			DEEPAK MIDHA	1750
84	D000005			DEWAN CHAND KALRA	1750
85	D000006			DINESH GARG	1400
86	D000007			DINESH KHANNA	500
87	D000008			DINESH PRASAD KASHYAP	350
88	D000009			DROPADI DEVI	3500
89	D000010			DWARKA BATRA	700
90	G000001			G. C. PANDEY	1225
91	G000002			GOVIND PRASAD AGARWAL	1750
92	H000001			H. K. BANSAL	5250
93	H000002			HAR BHAGWAN SHARMA	1400
94	H000003			HARISH KUMAR VIRMANI	1225
95	H000004			HEM MAHESHWARI	1400
96	I000001			INDIRA	1400
97	I000002			INDU BHARDWAJ	1400
98	J000001			J. C. JAIN	1225
99	J000002			JAGDISH PRASAD MADAN	1225
100	J000003			JAGDISH RAJ MAHAJAN	1050
101	J000004			JAGDISH SINGH JOLLY	1750
102	J000005			JAI NARAIN	1400
103	J000006			JAIN PRAKESH	1400
104	J000007			JITENDRA KUMAR	1400
105	K000001			KAILASH CHANDRA GUPTA	1050
106	K000002			KAILASH WATI	1050
107	K000003			KAMINI KAUSHAN	700
108	K000004			KAMLESH	1750
109	K000005			KANCHAN LATA AGARWAL	1400
110	K000006			KAPTAN SINGH	350
111	K000007			KAUSHALYA GOGIA	1750
112	K000008			KESHAV DUTT BHARDWAJ	1400
113	K000009			KHYAL GIRI	1400
114	K000010			KRITI GORAWARA	1750
115	K000011			KRISHAN GOPAL GUPTA	1400
116	K000012			KRISHAN GOPAL JAIN	1225
117	K000013			KRISHNA DEVI	700
118	K000014			KRISHNA GOYAL	1400
119	K000015			KRISHAN KUMARI MEHTA	1400
120	K000016			KUMKUM MAHESHWARI	1400
121	K000017			KUMUD GUPTA	1400
122	L000001			LAL SAHEB SINGH	350
123	M000001			M. N. BHASKAR	1400
124	M000002			MADAN LAL MEHTA	1400
125	M000003			MADHU MIDHA	1750
126	M000004			MAHANAND SHARMA	1400
127	M000005			MANGAT RAM	1400
128	M000006			MANISH SHARMA	1750

129	M000007		MANOHAR LAL GUPTA	1400
130	M000008		MANOHAR LAL BATRA	1050
131	M000009		MATBAR SINGH	1400
132	M000010		MAHESH KUMAR	1750
133	M000012		MUNNI DEVI	1225
134	M000013		MURARI MAHESHWARI	1400
135	N000001		NANDA DEVI PANDEY	1225
136	N000002		NARENDRA KUMAR	350
137	N000003		NARENDRA KUMAR AGARWAL	1400
138	N000004		NARESH SAXENA	1225
139	N000005		NARENDER CHOUDHARY	1050
140	N000006		NARENDER KUMAR	1400
141	N000007		NEERAJ GUPTA	1400
142	O000001		O. P. SAXENA	1225
143	P000001		P. K. RASTOGI	1400
144	P000002		PARDEEP GUPTA	1050
145	P000003		PAWAN KUMAR RASTOGI	1050
146	P000004		POONAM GOEL	1400
147	P000005		POONAM GUPTA	875
148	P000006		PRABHAS GUPTA	350
149	P000007		PARDEEP KUMAR	175
150	P000008		PRAKESH CHAND	1400
151	P000009		PRAMOD GUPTA	350
152	P000010		PRAMOD KANT GOEL	3500
153	P000011		PRATIBHA GUPTA	1225
154	P000012		PRAVEEN KUMAR GOYAL	1750
155	P000013		PREM LILA KALRA	1750
156	P000014		PUSHPA AGARWAL	1400
157	P000015		PUSPENDRA KUMAR GOYAL	1750
158	R000001		R. K. ANAND	1400
159	R000002		RADHIKA DEVI	350
160	R000003		RAJ AHLUWALIA	1400
161	R000004		RAJ KUMAR	1400
162	R000005		RAJ KUMAR AGARWAL	1050
163	R000006		RAJESH GOSAIN	1750
164	R000007		RAJESH SHARMA	1400
165	R000008		REJNESH KUMARI	1400
166	R000009		RAJNI GUPTA	300
167	R000010		RAKESH KUMAR AGARWAL	1750
168	R000011		RAKESH KUMAR BHARDWAJ	1400
169	R000012		RAKESH KUMAR BHATIA	1400
170	R000013		RAKESH KUMAR CHAWLA	875
171	R000014		RAKESH KUMAR SAINI	175
172	R000015		RAM KISHORE ARYA	1050
173	R000016		RAM SINGH	1400
174	R000017		RAMA SHANKAR PATHAK	350
175	R000018		RAMESH CHAND	1400
176	R000019		RAMESH CHAND BHARDWAJ	1400
177	R000020		RAMESH KUMAR JINDAL	1400
178	R000021		RAM PRAKESH SHARMA	1400
179	R000022		RANJNA AGGARWAL	1750
180	R000023		RANJEET SINGH RAWAT	1225
181	R000024		RASHMI DIKSHIT	1400
182	R000025		RATAN LAL KASHI NATH AGARWAL	1400
183	R000026		ROOP SINGH	1400
184	S000001		SANDHYA RASTOGI	1400
185	S000002		SANJEEV SHARMA	1750
186	S000003		SANT KUMAR KAUSHAL	1400
187	S000004		SANTOSH KUMAR GUPTA	175
188	S000005		SANTOSH KUMARI	1400
189	S000006		SARLA AGGARWAL	1400
190	S000007		SARLA GARG	1400
191	S000008		SAROJ JAIN	1050
192	S000009		SATISH CHAND GUPTA	175
193	S000010		SATISH CHANDRA	1400

194	S000011			SATISH CHANDRA AGARWAL	1400
195	S000012			SATISH KUMAR AGGARWAL	1400
196	S000013			BANDHU S	1225
197	S000014			SATYA CHAWLA	1400
198	S000015			SATYA MADAN	1050
199	S000016			SAVITRI DEVI	1225
200	S000017			SEEMA	1750
201	S000018			SHALENDRA KUMAR GOEL	1400
202	S000019			SHANTI DEVI (SUNITA)	1400
203	S000020			SHARDA JINDAL	1400
204	S000021			SHASHI SAXENA	1225
205	S000022			SHEELA MUNDHAR	1400
206	S000023			SHEELA DEVI	1225
207	S000024			SHARWAN KUMAR AGGARWAL	1225
208	S000025			SHRI BHAGWAN SHARMA	1400
209	S000026			SUBASH CHANDER	1400
210	S000027			SUDHIR KANT	1400
211	S000028			SUDHIR KUMAR AGARWAL	1750
212	S000029			SUDHIR KUMAR MAHESHWARI	1225
213	S000030			SUMAN	1225
214	S000031			SUMIT SHARMA	1400
215	S000032			SUMITRA GARG	1400
216	S000033			SUNITA ANAND	1400
217	S000034			SUNITA DEVI	350
218	S000035			SUNITA GUPTA	1400
219	S000036			SUNITA KUMARI	1750
220	S000037			SUNITA SETH	1050
221	S000038			SURENDRA KUMAR SHARMA	2800
222	S000039			SURESH	1225
223	S000040			SURESH KUMAR	1225
224	S000041			SURENDER KUMAR MODGIL	1400
225	S000042			SUSHMA BATRA	1400
226	U000001			UMANG MIDHA	1750
227	U000002			USHA CHAWLA	1225
228	U000003			USHA GOYAL	1750
229	V000001			V. K. SHANGARI	1225
230	V000002			VARSHA KALRA	1750
231	V000003			VEENA GUPTA	700
232	V000004			VIJAY KALRA	1750
233	V000005			VINAY KUMAR KOHLI	1400
234	V000006			VIJAY KUMAR SHARMA	1400
235	V000007			VINAY SAXENA	1225
236	V000008			VINOD KUMAR AGARWAL	1750
237	V000009			VINOD KUMAR SEHGAL	1050
238	V000010			VINOD KUMARI	1225
239	V000011			VIPIN GORAWARA	1750
240	V000012			VIRENDRA KUMAR GOEL	1400
241	V000013			VISHNU DUTT UMESH	1400
242	V000014			VISHNU KUMAR VERMA	1400
243	V000015			VISHAMBAR SINGH	1400
244	Y000001			YASHPAL	1225
245		12019101	01790431	RAJNI GUPTA	750
246		12033200	10697879	SANJAY JOON	8750

247		12033200	15636605	ANITA JOON	7000
248		12033200	15636643	PYARE LAL JOON	7000
249		12033900	00092097	DIMPLE SANJAY JAIN	5000
250		12033900	00157430	SANJAY JAIN	4300
251		12058600	00000190	RAVI BHATIA	1800
252		12058600	00001122	SANJAY KUMAR JAIN	8925
253		12058600	00002320	HONEY PARWAL	175000
254		12058600	00002335	RAMESHWAR DASS PARWAL	26250
255		12058600	00002341	LAXMI DEVI PARWAL	26250
256		12058600	00002827	SANDEEP PARWAL HUF	122500
257		12058600	00002831	SANDEEP PARWAL	252525
258		12058600	00005224	ANJU JAIN	7000
259		12058600	00023288	VIJAY SHREE BUILDERS PRIVATE LIMITED	87500
260		12058600	00024623	SUNIL MAHESHWARI	35175
261		12058600	00025952	ZEALOUS FINANCIAL SERVICES PVT LTD	87500
262		12058600	00026367	RUMAN SINGH	6300
263		12058600	00029256	KAMAL KISHORE SOMANI HUF	35000
264		12058600	00030158	VIJAY KUMAR TELHAN	5050
265		12058600	00043146	PAWAN KUMAR TANWAR	6650
266		12058600	00050073	SHWETA MAHESHWARI	7000
267		12058600	00054648	CHANDER KANTA	6650
268		12058600	00055466	PRAKASH WATI GUPTA	7000
269		12058600	00081564	BINAY KUMAR MISHRA	1900
270		12058600	00083050	RAJVIR SINGH	6650
271		12058600	00083301	RAMANAND SHARMA	11770
272		12058600	00084571	SANJU SHARMA	31500
273		12058600	00084998	DHRUBTARA TRADING PRIVATE LIMITED	586250
274		12058600	00085005	DEFIANT ENTERPRISES PRIVATE LIMITED	568750
275		12058600	00085379	RATTAN LAL MAHESHWARI	7000
276		12058600	00085423	NIRMALA MAHESHWARI	7000
277		12058600	00085841	SAHIB KAUR JOON	7000
278		12058600	00086159	ANSHU BAGRI	1700
279		12058600	00086197	MUKESH VERMA	1800
280		12081800	01290120	MADHU SUDAN PADHY	200
281		IN300394	17742242	RAKESH N	100
282		IN300394	17895653	TUMMALA KRISHNA KUMARI	100
283		IN300394	18019850	PABBATHI SAIKUMAR	100
284		IN300394	19016146	VEMULAPALLI MADHURI	100
285		IN300394	19016234	VEMULAPALLI SUSHMA	100
286		IN300484	28169491	KAMAL KISHORE SOMANI	210175
287		IN300708	10128427	B R GUPTA	875
288		IN300966	10051028	SATISH KUMAR MAHESHWARI	64750
289		IN300966	10168896	ANITA SATISH MAHESHWARI	36750
290		IN301549	33098178	DINESH KHANNA	1250
291		IN302365	10065404	ANIL KUMAR MAHESHWARI	7000
292		IN303680	10000336	ISHWAR SHARMA	4250
				Total	3074225

for SPA Capital Services Limited



Sandeep Parwal
Chairman Cum Managing Director
DIN: 00025803
Address: C-1/8, Janak Puri,
New Delhi - 110 058

RMG & ASSOCIATES

Company Secretaries

Form No. MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **SPA CAPITAL SERVICES LIMITED** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on **31st March, 2019**. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. The Annual Return has been prepared by the Company for the closure of the aforesaid financial year.
- B. As per the information furnished to us, the status of compliance(s) of the provisions of the Act & Rules made there under, during the aforesaid financial year, is provided hereunder:
 1. As per the official website of Ministry of Corporate Affairs, the status of the Company is Active and continues to be a Public Limited, Non Government Company, Limited by shares having CIN - L65910DL1984PLC018749 and Registered Office at 25, C - Block, Community Centre, Janak Puri, New Delhi - 110058.
 2. The Company has generally maintained registers/records as required to be maintained under companies Act, 2013 for the reporting period.
 3. The Company has generally filed the forms and returns as stated in **Annexure 'A'** to this certificate, with the Registrar of Companies, Delhi & Haryana on the dates as specified in the aforesaid Annexure. However, the Company has not filed any form or return with Central Government, Regional Director, Tribunal & Court or other authorities during the financial year.
 4. The calling/ convening/ holding meetings of Board of Directors or its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which, notices were generally given, and the proceedings have been recorded in the Minute Book/ registers maintained for the purpose to the extent possible.



However Board Meeting held on September 24, 2018 was held at shorter notice and the fact that the meeting is being held at shorter notice was neither stated in the notice of the Board Meeting nor in the minutes in terms of Secretarial Standard-I. Further, resolutions passed by circulation dated September 29, 2018 and October 17, 2018 were not taken on record in any of the subsequent Board Meeting in violation of Section 175(2) read with Secretarial Standard – I.

5. The Company has not closed its Register of Members / Security Holders during the financial year.
6. As per the information provided and details of transactions made available in the Audit Report, the Company has not advanced any loan to its directors and / or persons or firms or companies referred in Section 185 of the Companies Act, 2013.
7. As per the information furnished to us, all contracts/ arrangements/ transactions entered or modified by the Company, during the financial year under review, with related parties, were in the ordinary course of business and on an arm's length basis and do not attract the provisions of Section 188 of the Companies Act, 2013.
8. During the period under review, the transfers were recorded in the shares of the Company held in demat form apart from that the Company has not issued or transmitted or bought back any securities and there was no instance of redemption of preference shares or debentures, alteration or reduction of share capital, conversion of shares/ securities and issue of security certificates during the aforesaid period.
9. There were no transactions necessitating the Company to keep in abeyance the rights to dividend, right shares, and bonus shares pending registration of transfer of shares.
10. The Company had not declared any dividend. There is no unclaimed dividend declared by the Company. Therefore, the Company is not required to transfer any amount to the Investor Education and Protection Fund in accordance with Section 125 of the Act during the period under Review.
11. As per the information furnished to us, the audited financial statements are prepared for the period 01-04-2018 to 31-03-2019 and were signed in accordance with the provisions of Section 134 of the Companies Act, 2013 and the Board's Report for the aforesaid period was generally made in accordance with the provisions of the Section 134(3), (4) & (5) of the Companies Act, 2013.
12. The Board of Directors of the Company is duly constituted. However, during the reporting period following changes were made in the composition of Board of Directors :



- a. Ms. Honey Parwal (DIN: 00025835) was appointed as Director by the Shareholders of the Company at their Annual General Meeting held on September 18, 2018.
- b. Mr. Amit Kumar Jakhotia (DIN: 07947687) was appointed as Independent Director by the Shareholders of the Company at their Annual General Meeting held on September 18, 2018.
- c. Mr. Ramesh Menon (DIN: 02182698) was appointed as Independent Director by the Shareholders of the Company at their Annual General Meeting held on September 18, 2018.
- d. Mr. Sandeep Parwal was reappointment as Managing Director for further period of 3 years after passing necessary Board Resolution and confirmation by the shareholder in the Annual General Meeting held on September 18, 2018.

Further, during the period under review, Mr. Rajesh Ramnani has resigned from the position of Company Secretary and Compliance Officer of the Company and Compliance Officer under Pension Fund Regulatory and Development Authority Act, 2013 and Pension Fund Regulatory and Development Authority (Point of Presence) Regulations, 2015.

13. The appointment of Statutory Auditors have been made under the provisions of Section 139 of the Companies Act, 2013. However, M/s O. P. Mishra & Co., Chartered Accountants resigned from the office of Statutory Auditor w.e.f. February 14, 2019 and casual vacancy arised from such resignation was filled by appointing M/s SNMG & Co., Chartered Accountants (Regn. No. 004921N) in the board meeting held on February 14, 2019 on recommendation made by the audit committee and it was further confirmed by the shareholders in the Extra-Ordinary General Meeting held on April 24, 2019.

Further, the Company has also appointed Secretarial Auditors and Internal Auditors, under the relevant provisions of the Companies Act, 2013, during the financial year under review.

14. As per the information given to us, the Company has not obtained any approvals from the Central Government, Company Law Board, Tribunal, Regional Director, Registrar of Companies, Court or such other authorities prescribed under the various provisions of the Act.
15. As per the information furnished to us, the Company has not invited any deposits falling within the purview of relevant Sections of the Act during the financial year.



16. The borrowings made by the Company, from its directors, members, public financial institutions, banks are within the overall limits stipulated under Section 180(1)(c) read with Section 180(2) of the Companies Act, 2013. Further, the Company has availed a credit facility of Rs. 4,50,00,000/- (Rupees Four Crores and Fifty Lakhs Only) from HDFC Bank within the overall limits of Rs. 200 Crores which was approved by the shareholders in the Extra Ordinary General Meeting held on 22-09-2017. The said credit facility was guaranteed by the directors of the Company and no charge was created for the same. However, the Company has satisfied the charge (Charge ID - 10583470) of Rs. 11,92,000/- (Rupees Eleven Lakh Ninety-Two Thousand Only) in respect of the Vehicle Loan (Vehicle Regn. No. HR26BX0770) taken from HDFC Bank.
17. As per Section 186(11) of the Companies Act, 2013, the Company being a registered Non-Banking Financial Company with the RBI, Section 186 of the Act, except sub-section (1), is not applicable on the Company for making loan, giving guarantee or providing security in connection with a loan to any other body corporate or person or for acquiring by way of subscription, purchase or otherwise securities of any Body Corporate.
18. The Company has not altered any of the clause(s) of Memorandum of Association and Articles of Association of the Company during the aforesaid financial year.



For RMG & Associates
Company Secretaries

Manish Gupta
CS Manish Gupta

Partner

FCS: 5123; C.P. No.: 4095

Place : New Delhi

Date : 26-12-2019

UDIN : F005123A000497395

26/12/2019

Annexure A

Forms and Returns* as filed by the Company with the Registrar of Companies, Delhi & Haryana, during the financial year ended on 31-03-2019 :

Sl.	Form / Return	Date of Filing	Whether filed within prescribed time	If delay in filing whether requisite additional fees paid or not
1	Form DIR-12	15-10-2018	Yes	N/A
2	Form CHG-4	31-01-2019	No	Yes
3	Form AOC-4	18-10-2018	Yes	N/A
4	Form MGT-7	28-12-2018	Yes	N/A
5	Form ADT-3	18-02-2019	Yes	N/A
6	Form MGT-14	12-10-2018	Yes	N/A
7	Form MR-1	12-10-2018	Yes	N/A
8	Form MGT-14	11-07-2018	Yes	N/A
9	Form MGT-14	20-09-2018	No	Yes
10	Form MGT-14	22-10-2018	Yes	N/A
11	Form MGT-14	08-03-2019	Yes	N/A

***Details provided by the management of the company and also available in the view public document facility of the Ministry of Corporate Affairs.**

Place : New Delhi
Date : 26-12-2019
UDIN : F005123A000497395

For RMG & Associates
Company Secretaries
NEW DELHI

CS Manish Gupta
Partner
FCS: 5123; C.P. No.: 4095